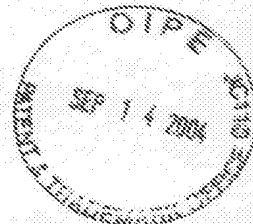


Received in the U.S. Patent and Trademark Office
Utility Patent Application of Delurgio et al.
For: Financial Model Engine
Serial Number: 09/741,957

Docket DEM1P004

-
- ☒ Information Disclosure Statement - with a Certificate of Mailing by First Class Mail; and
 - ☒ Itemized List of three (3) Cited Documents (1 page)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DELURGIO et al.

Attorney Docket No.: DEMIP004

Application No.: 09/741,957

Examiner: Cosimano, Edward R.

Filed: December 20, 2000

Group: 3629

Title: FINANCIAL MODEL ENGINE

Mail Stop: Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 9, 2004

Dear Sirs:

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 9, 2004.

Signed: _____

Kang S. Lim

INFORMATION DISCLOSURE STATEMENT FILED

UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

..... 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R. § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.

..... A certification pursuant to 37 C.F.R. § 1.97(e) or

..... A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. KL-DEMIP004).

LAW OFFICES OF KANG S. LIM
PMB 436
3494 Camino Tassajara Road
Danville, CA 94506
Voice: (925) 570 8198
Facsimile: (925) 736 3974

Respectfully submitted,


Kang S. Lim
Attorney for Applicant(s)
Reg. No. 37,491

CUSTOMER NO. 36088

\\KSL IDS d



Track & Confirm

Shipment Details

You entered 0303 0830 0000 3692 3370

Your item was delivered at 8:52 am on September 13, 2004 in ALEXANDRIA, VA 22313.

Here is what happened earlier:

- ARRIVAL AT UNIT, September 13, 2004, 8:39 am, ALEXANDRIA, VA 22313
- ACCEPTANCE, September 09, 2004, 1:59 pm, DANVILLE, CA 94526

Notification Options

▶ Track & Confirm by email

[What is this?](#)

[Go >](#)

Track & Confirm

Enter label number:

[Track & Confirm FAQs](#)



POSTAL INSPECTORS
Preserving the Trust

site map contact us government services
Copyright © 1999-2002 USPS. All Rights Reserved. Terms of Use Privacy Policy

IDS XI for DT-0004, 0005, 0101, 0102,
0104, 0105, 0106, 0107, 0108,
0109, 0110, 0203, 0301

U.S. Postal Service™ Delivery Confirmation™ Receipt

Postage and Delivery Confirmation fees must be paid before mailing.

Article Sent To: (to be completed by mailer)

COMMISSIONER FOR PATENTS
PO BOX 1450
Alexandria VA 22313-1450

DELIVERY CONFIRMATION NUMBER:
012E 249E 0000 0E9D E0E0



POSTAL CUSTOMER:

Keep this receipt. For inquiries:
Access Internet web site at
www.usps.com
or call 1-800-222-1811

CHECK ONE (POSTAL USE ONLY)

- ☐ Priority Mail™ Service
☐ First-Class Mail® parcel
☐ Package Services parcel

(See Reverse)

PS Form 152, May 2002